



# ASQA Update



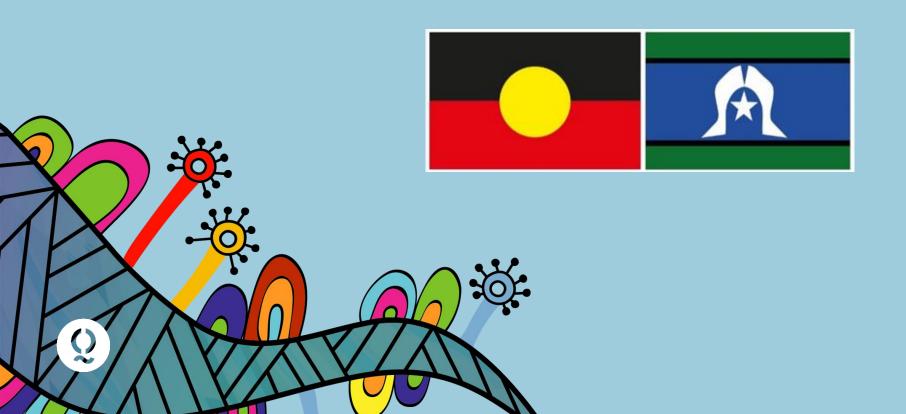
03 December 2021

James Gett | Assistant Director - Regulatory Engagement and Education





Acknowledgement of Country





## What we'll cover today

- How ASQA's operating model is evolving
- What this means for you as providers in relation to:
  - Engagement and education
  - The performance assessment (audit) process
  - Managing compliance
  - Request for reviews of decisions (internal review)



### Who am I?

- James Gett Assistant Director for Education and Engagement
- → VET background:
  - Working with Aboriginal students across multiple training packages
  - Lecturer / course coordinator
  - Compliance manager
  - Compliance Consultant
- ASQA Background:
  - Auditor
  - Reform Taskforce
  - Internal Review
  - Education and Engagement



## Overview of ASQA

- ASQA is the national regulator for the VET sector.
- We regulate the sector by:
  - engaging and collaborating with the sector
  - being transparent and consistent in our regulatory approach
  - promoting continual improvement and self-assurance in quality training outcomes





- Moving from prescriptive and process-based compliance to a broader focus on self-assurance
- Supporting providers to identify and rectify issues without undue regulatory intervention
- Building provider capacity for continuous improvement.

#### Hyperlinks

- Rapid Review Report Link
- Webinar on ASQA's Regulatory Approach



## Our engagement with you

ASQA is enhancing consultation and engagement opportunities providing timely and targeted communications.

#### Recent Projects:

- Revision of ASQA's website
- Stakeholder Liaison group
- Spotlight on Series







- Formed in July 2020.
- The SLG provides a forum for ASQA to engage and collaborate with providers and industry experts.
- The members are selected on the basis of their experience and standing in the VET and ELICOS sectors.





## Spotlight on Series

- Established beginning of 2021
- Focusses on providing education to the sector about key VET and CRICOS compliance requirements.
- Series that have been run to date include:
  - Trainers and assessors
  - Assessment Validation
  - Assessment

#### Hyperlinks

- ASQA website Spotlight on series
- ASQA YouTube channel





## What to expect when we assess performance (audit)

Our audits are now performance assessments

We're helping build a culture of self-assurance

We're focussing on continually improving practices and systems

We're committed to engaging with you better during the performance assessment process

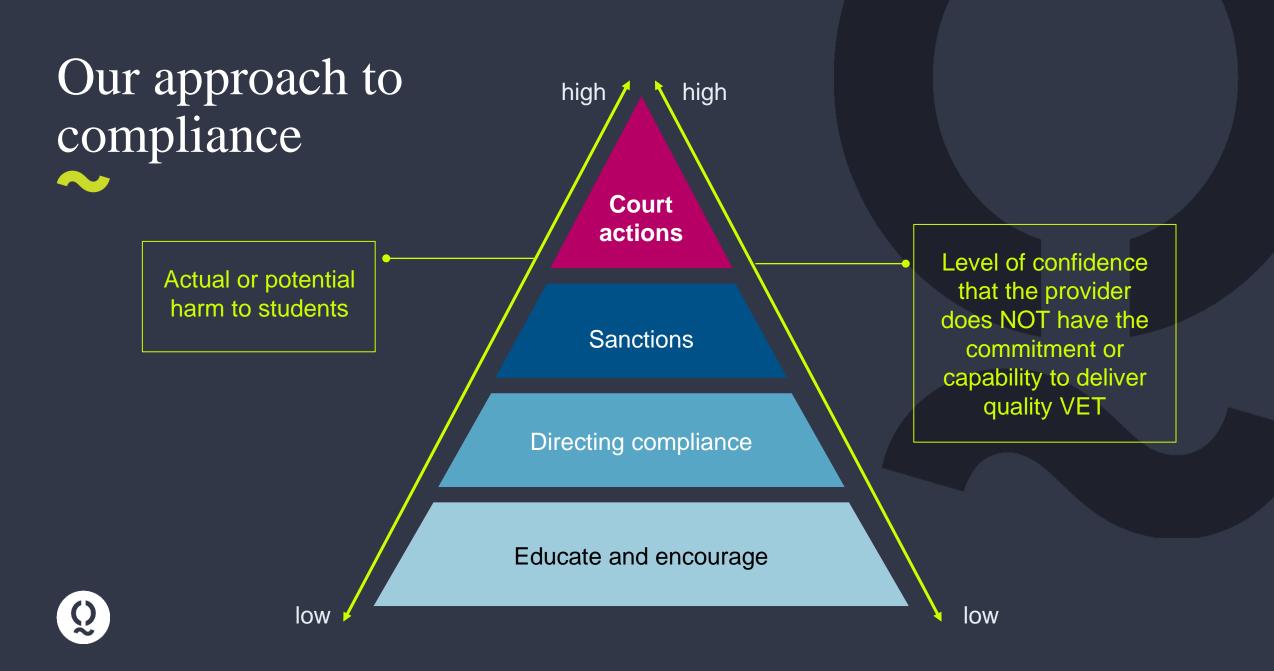
# How: Performance Assessment Process

The performance assessment process has been amended to ensure that it is more collaborative, efficient and effective.

- Changes include:
  - Moving the opening meeting earlier
  - Requesting evidence earlier
  - Amending how we write our reports

### When will I be audited?

ASQA draws on data and intelligence from many sources to understand risks associated with each provider and uses this information to inform our compliance monitoring priorities, including whether a provider will be subject to a performance assessment process.



New option:

'Agreements to rectify non-compliance'

'Agreements to rectify' are a new regulatory approach ASQA has to address non-compliance

- It can be used for providers that are committed and capable to return to sustained compliance
- Supports providers to self-identify actions that will contribute to addressing non-compliance
- Gives providers more time to make systemic and sustainable changes to their systems.



A fair, more balanced review process (Internal Review)

- An independent internal review process
- A fair process for seeking review of decisions
- A genuine opportunity to engage with providers
- Ensures decisions support integrity of national qualifications.





## Learn more about our Regulatory Practices



## What is coming in 2022?

- Public consultation on the new Standards for Registered Training Organisations
- Lots more education and engagement



enquiries@asqa.gov.au



**\** 1300 701 801





## Questions?



